



# Application for Financing

CANADA

Application Date: \_\_\_\_\_

Business Development Officer: \_\_\_\_\_

How did you learn about Crestmark?: \_\_\_\_\_

## COMPANY INFORMATION

Legal Name: \_\_\_\_\_

DBA: \_\_\_\_\_ Prior Name(s) including merged entities: \_\_\_\_\_

Address: \_\_\_\_\_ City: \_\_\_\_\_ Province: \_\_\_\_\_ Postal Code: \_\_\_\_\_

Phone: \_\_\_\_\_ Fax: \_\_\_\_\_ Website: \_\_\_\_\_

Mailing Address (if different from above): \_\_\_\_\_ City: \_\_\_\_\_ Province: \_\_\_\_\_ Postal Code: \_\_\_\_\_

DAILY CONTACT PERSON Name: \_\_\_\_\_ Title: \_\_\_\_\_

Phone: \_\_\_\_\_ Mobile: \_\_\_\_\_ Email: \_\_\_\_\_

### BUSINESS DESCRIPTION:

FORM OF BUSINESS:  Sole Proprietor  Partnership  Limited Partnership  LLC  Corporation Date Established: \_\_\_\_\_

JURISDICTION OF INCORPORATION / ORGANIZATION: \_\_\_\_\_

OTHER LOCATIONS (attach additional sheets if necessary): \_\_\_\_\_

Business/Principals current on all taxes?:  Yes  No If no, please explain: \_\_\_\_\_

Bankruptcy filings (company or any principals)?:  Yes  No If yes, which and date: \_\_\_\_\_

Any current or prior security interests or liens, judgements, suits, criminal charges/convictions, legal proceedings, regulatory actions against company, or principals /shareholders/officers?:  Yes  No If yes, please explain: \_\_\_\_\_

Are all owners (including shareholders) and officers/directors Canadian Citizens, or have the legal right to be in Canada?  
 Yes  No If not, please provide Photocopies of your Passport; and also your Work VISA or Permanent Resident Card with this Application

Has company been involved in a merger or acquisition within the last two (2) years?  Yes  No If yes, please provide copy of purchase/sale agreement. \_\_\_\_\_

Please provide names of all entities either owned or operated by primarily same principals for last two (2) years: \_\_\_\_\_

## PRINCIPALS & SHAREHOLDERS (Attach additional sheets if necessary)

OWNERSHIP MUST TOTAL 100%

NAME (full legal): \_\_\_\_\_ Title: \_\_\_\_\_ % Ownership: \_\_\_\_\_

Home Address: \_\_\_\_\_ City: \_\_\_\_\_ Province: \_\_\_\_\_ Postal Code: \_\_\_\_\_

Phone: \_\_\_\_\_ Mobile: \_\_\_\_\_ County: \_\_\_\_\_

Date of Birth: \_\_\_\_\_ Social Insurance #: \_\_\_\_\_ Email Address: \_\_\_\_\_

Valid Drivers License Number and Province, or Valid Passport Number: \_\_\_\_\_ Canadian Citizen?:  Yes  No Provide any two (2) of the following government-issued photo IDs: Passport, Driver's License, or Alien ID Card

Do you have an interest in any other businesses?  Yes  No If yes, please explain: \_\_\_\_\_

NAME (full legal): \_\_\_\_\_ Title: \_\_\_\_\_ % Ownership: \_\_\_\_\_

Home Address: \_\_\_\_\_ City: \_\_\_\_\_ Province: \_\_\_\_\_ Postal Code: \_\_\_\_\_

Phone: \_\_\_\_\_ Mobile: \_\_\_\_\_ County: \_\_\_\_\_

Date of Birth: \_\_\_\_\_ Social Insurance #: \_\_\_\_\_ Email Address: \_\_\_\_\_

Valid Drivers License Number and Province, or Valid Passport Number: \_\_\_\_\_ Canadian Citizen?:  Yes  No Provide any two (2) of the following government-issued photo IDs: Passport, Driver's License, or Alien ID Card

Do you have an interest in any other businesses?  Yes  No If yes, please explain: \_\_\_\_\_

NAME (full legal): \_\_\_\_\_ Title: \_\_\_\_\_ % Ownership: \_\_\_\_\_

Home Address: \_\_\_\_\_ City: \_\_\_\_\_ Province: \_\_\_\_\_ Postal Code: \_\_\_\_\_

Phone: \_\_\_\_\_ Mobile: \_\_\_\_\_ County: \_\_\_\_\_

Date of Birth: \_\_\_\_\_ Social Insurance #: \_\_\_\_\_ Email Address: \_\_\_\_\_

Valid Drivers License Number and Province, or Valid Passport Number: \_\_\_\_\_ Canadian Citizen?:  Yes  No Provide any two (2) of the following government-issued photo IDs: Passport, Driver's License, or Alien ID Card

Do you have an interest in any other businesses?  Yes  No If yes, please explain: \_\_\_\_\_

**CURRENT LENDER INFORMATION**

Name of Lender:	Line Amount: \$	Termination Date:	Notice Date:
Address:	City:	Province:	Postal Code:
Collateral Pledged/Charged:	Guarantees (Personal/Corporate):		
Do you have any other open loans with other lenders? <input type="checkbox"/> Yes <input type="checkbox"/> No <i>If yes, please list on additional page.</i>			

**ACCOUNTS RECEIVABLE INFORMATION**

Total # Customers:	# of Domestic:	# of Foreign:	Open Invoices: \$
List Foreign:			
Number of invoices per month:			
Average Invoice Size: \$	Average # Days Invoices Outstanding:		
Terms, discounts or incentives offered:			
Annual sales: \$	Anticipated Monthly Financing: \$		
Are receivables, inventory or fixed assets pledged/charged as collateral for current financing? <input type="checkbox"/> Yes <input type="checkbox"/> No <i>If yes, to whom:</i>			
Are there any bill and holds: <input type="checkbox"/> Yes <input type="checkbox"/> No Any guaranteed sales or A/R subject to offset: <input type="checkbox"/> Yes <input type="checkbox"/> No Do you accept deposits: <input type="checkbox"/> Yes <input type="checkbox"/> No			
Please explain:			

**PLEASE ATTACH THE FOLLOWING ITEMS WITH YOUR BUSINESS APPLICATION**

- Corporate Balance Sheets and Profit & Loss Statements (2 year-ends and most recent interim statement)
- Most recent detailed Accounts Receivable Aging & Accounts payable aging

I hereby certify that all information contained in this application is correct. I hereby authorize Crestmark, its subsidiaries, its designated agents or assigns to conduct any investigation it sees fit as to the creditworthiness of the company and all principals individually by utilizing any credit reporting agency as and when needed, and to file the appropriate financing Provincements pursuant to the Uniform Commercial Code or applicable legislation, describing the collateral as all assets of the Debtor or similar language. I understand that the contents of said investigation and any ensuing reports will be, and remain, confidential. Without limiting the generality of the foregoing, I hereby authorize Crestmark, its subsidiaries, agents and assigns to use, collect and disclose the foregoing information for the purposes Provincenced herein. Crestmark will take reasonable precaution to safeguard such information to prevent it from theft, loss, or unauthorized disclosure. Crestmark will keep such information for as long as necessary to provide the financing contemplated herein and in accordance with its Privacy Policy. For any questions regarding Crestmark's Privacy Policy, or if I wish to rectify any information provided to Crestmark, I may contact Crestmark at any telephone number appearing herein.

**USA PATRIOT ACT NOTIFICATION – The following notification is being provided to you pursuant to Part 326 of the USA Patriot Act of 2001, 31 CFR 103.121(b)(5):**

**IMPORTANT INFORMATION ABOUT PROCEDURES FOR OPENING A NEW ACCOUNT** To help the government fight the funding of terrorism and money laundering activities, Federal law requires all financial institutions to obtain, verify, and record information that identifies each person who opens an account. What this means for you: When you open an account, including any deposit account, loan, lease, or extension of credit, we will ask for your name, address, date of birth, and other information that will allow us to identify you. We may also ask to see your driver's license or other identifying documents.

**Sign Individually, with Title:** *Please have all principals sign below; attach additional sheets if necessary.*

Signature / Title	Print Name	Date
Signature / Title	Print Name	Date
Signature / Title	Print Name	Date



**Canadian Foreign Representative Office**  
**Corporate / East Division / Michigan Office**  
**East Division / Florida Office**  
**West Division / Louisiana Office**  
**West Division / California Office**  
**Transportation Division Office**

1315 Pickering Parkway, Suite 300   Pickering, ON L1V 7G5	p	289.624.2323	f	248.267.1645
5480 Corporate Drive, Suite 350   Troy, MI 48098	p	248.641.5100	f	248.641.5101
1500 Gateway Boulevard, Suite 250   Boynton Beach, FL 33426	p	561.833.7006	f	561.833.9968
726 Highlandia Drive   Baton Rouge, LA 70810	p	225.293.0711	f	225.906.0309
1301 Dove Street, Suite 1000   Newport Beach, CA 92660	p	949.486.3409	f	949.486.3408
800 Crescent Centre Drive, Suite 620   Franklin, TN 37067	p	615.620.3500	f	615.620.3510

**EQUAL OPPORTUNITY NOTICE:** The Federal Equal Credit Opportunity Act prohibits creditors from discriminating against credit applicants on the basis of race, color, religion, national origin, sex, marital status, age (provided the applicant has the capacity to enter into a binding contract); because all or part of the applicant's income derives from any public assistance program; or because the applicant has in good faith exercised any right under the Consumer Credit Protection Act. The federal agency that administers compliance with this law concerning this creditor is: OFFICE OF THE COMPTROLLER OF THE CURRENCY, Customer Assistance Group, 1301 McKinney St., Suite 3450, Houston, TX 77010-9050.